## Arkansas State University-Jonesboro Employee Information Form

Hiring Department	Employee ID	
Assignment:		
Full Legal Name:		
Last Name	First Name	Middle
Preferred First Name	Personal Email	<del></del>
Ethnicity: Are you Hispanic or Latino?  Hispanic or Latino		ce: If you did not select Hispanic or Latino, eck one of these categories:
Not Hispanic or Latino		American Indian/Alaskan Native Asian
Gender: Female Male		☐ Black/African American ☐ Native Hawaiian/Pacific Islander
Marital Status: Divorced Married		☐ White
Separated Single		Two or More Races
Unspecified Widowed		Not Disclosed

Any questions regarding Arkansas State's Equal Opportunity/Affirmation Active Policy should be addressed to the Office of Affirmative Action at (870) 972-2015.



## **Employment Eligibility Verification**

#### **Department of Homeland Security**

U.S. Citizenship and Immigration Services

USCIS Form I-9

OMB No. 1615-0047 Expires 10/31/2022

▶START HERE: Read instructions carefully before completing this form. The instructions must be available, either in paper or electronically, during completion of this form. Employers are liable for errors in the completion of this form.

ANTI-DISCRIMINATION NOTICE: It is illegal to discriminate against work-authorized individuals. Employers CANNOT specify which document(s) an employee may present to establish employment authorization and identity. The refusal to hire or continue to employ an individual because the documentation presented has a future expiration date may also constitute illegal discrimination.

Section 1. Employee Information than the first day of employment, but not			ust complete and	d sign Se	ction 1 of	Form I-9 no later
Last Name (Family Name)	me (Family Name) First Name (Given Name) M		Middle Initial	Other La	ast Names	Used (if any)
Address (Street Number and Name)	Apt. Number	City or Town			State	ZIP Code
Date of Birth (mm/dd/yyyy)  U.S. Social Security Number Employee's E-mail Address Employee's						Felephone Number
I am aware that federal law provides for connection with the completion of this f		or fines for fals	se statements o	or use of	false do	cuments in
I attest, under penalty of perjury, that I a	am (check one of th	e following box	(es):			
1. A citizen of the United States						
2. A noncitizen national of the United States	(See instructions)					
3. A lawful permanent resident (Alien Reg	gistration Number/USC	IS Number):				
4. An alien authorized to work until (expira Some aliens may write "N/A" in the expira				_		
Aliens authorized to work must provide only or An Alien Registration Number/USCIS Number						R Code - Section 1 of Write In This Space
Alien Registration Number/USCIS Number:     OR	A. (1974)					
2. Form I-94 Admission Number:						
3. Foreign Passport Number:			P400matev4			
Country of Issuance:						
Signature of Employee			Today's Dat	e ( <i>mm/dd/</i>	<i>(yyyy</i> )	
Preparer and/or Translator Certif  I did not use a preparer or translator.  (Fields below must be completed and sign	A preparer(s) and/or t	ranslator(s) assiste				
I attest, under penalty of perjury, that I h knowledge the information is true and c		completion of	Section 1 of th	is form a	and that t	o the best of my
Signature of Preparer or Translator				Today's [	Date (mm/c	ld/yyyy)
Last Name (Family Name)		First Nar	me (Given Name)			
Address (Street Number and Name)		City or Town			State	ZIP Code



Employer Completes Next Page





### **Employment Eligibility Verification**

#### **Department of Homeland Security**

U.S. Citizenship and Immigration Services

USCIS Form I-9

OMB No. 1615-0047 Expires 10/31/2022

#### Section 2. Employer or Authorized Representative Review and Verification (Employers or their authorized representative must complete and sign Section 2 within 3 business days of the employee's first day of employment. You must physically examine one document from List A OR a combination of one document from List B and one document from List C as listed on the "Lists of Acceptable Documents.") Last Name (Family Name) First Name (Given Name) M.I. Citizenship/Immigration Status **Employee Info from Section 1** OR List B List C **Identity and Employment Authorization** Identity **Employment Authorization** Document Title Document Title **Document Title** Issuing Authority Issuing Authority Issuing Authority Document Number Document Number Document Number Expiration Date (if any) (mm/dd/yyyy) Expiration Date (if any) (mm/dd/yyyy) Expiration Date (if any) (mm/dd/vvvv) Document Title QR Code - Sections 2 & 3 Issuing Authority Additional Information Do Not Write In This Space Document Number Expiration Date (if any) (mm/dd/yyyy) Document Title Issuing Authority Document Number Expiration Date (if any) (mm/dd/yyyy) Certification: I attest, under penalty of perjury, that (1) I have examined the document(s) presented by the above-named employee, (2) the above-listed document(s) appear to be genuine and to relate to the employee named, and (3) to the best of my knowledge the employee is authorized to work in the United States. The employee's first day of employment (mm/dd/yyyy): (See instructions for exemptions) Signature of Employer or Authorized Representative Today's Date (mm/dd/yyyy) Title of Employer or Authorized Representative Last Name of Employer or Authorized Representative First Name of Employer or Authorized Representative Employer's Business or Organization Name State Employer's Business or Organization Address (Street Number and Name) City or Town ZIP Code Section 3. Reverification and Rehires (To be completed and signed by employer or authorized representative.) A. New Name (if applicable) B. Date of Rehire (if applicable) Last Name (Family Name) Middle Initial Date (mm/dd/yyyy) First Name (Given Name) C. If the employee's previous grant of employment authorization has expired, provide the information for the document or receipt that establishes continuing employment authorization in the space provided below. Document Title Document Number Expiration Date (if any) (mm/dd/yyyy) I attest, under penalty of perjury, that to the best of my knowledge, this employee is authorized to work in the United States, and if the employee presented document(s), the document(s) I have examined appear to be genuine and to relate to the individual. Signature of Employer or Authorized Representative Today's Date (mm/dd/yyyy) Name of Employer or Authorized Representative

## LISTS OF ACCEPTABLE DOCUMENTS All documents must be UNEXPIRED

Employees may present one selection from List A or a combination of one selection from List B and one selection from List C.

	LIST A  Documents that Establish  Both Identity and  Employment Authorization	OR	LIST B  Documents that Establish Identity  AN	ID	LIST C Documents that Establish Employment Authorization
3.	U.S. Passport or U.S. Passport Card  Permanent Resident Card or Alien Registration Receipt Card (Form I-551)  Foreign passport that contains a temporary I-551 stamp or temporary I-551 printed notation on a machine- readable immigrant visa  Employment Authorization Document that contains a photograph (Form I-766)		<ol> <li>Driver's license or ID card issued by a State or outlying possession of the United States provided it contains a photograph or information such as name, date of birth, gender, height, eye color, and address</li> <li>ID card issued by federal, state or local government agencies or entities, provided it contains a photograph or information such as name, date of birth, gender, height, eye color, and address</li> </ol>	1.	A Social Security Account Number card, unless the card includes one of the following restrictions:  (1) NOT VALID FOR EMPLOYMENT  (2) VALID FOR WORK ONLY WITH INS AUTHORIZATION  (3) VALID FOR WORK ONLY WITH DHS AUTHORIZATION  Certification of report of birth issued by the Department of State (Forms DS-1350, FS-545, FS-240)
5.	For a nonimmigrant alien authorized to work for a specific employer because of his or her status:  a. Foreign passport; and b. Form I-94 or Form I-94A that has the following:  (1) The same name as the passport; and  (2) An endorsement of the alien's		<ol> <li>School ID card with a photograph</li> <li>Voter's registration card</li> <li>U.S. Military card or draft record</li> <li>Military dependent's ID card</li> <li>U.S. Coast Guard Merchant Mariner Card</li> <li>Native American tribal document</li> <li>Driver's license issued by a Canadian</li> </ol>	4.	Original or certified copy of birth certificate issued by a State, county, municipal authority, or territory of the United States bearing an official seal  Native American tribal document  U.S. Citizen ID Card (Form I-197)  Identification Card for Use of Resident Citizen in the United
6.	nonimmigrant status as long as that period of endorsement has not yet expired and the proposed employment is not in conflict with any restrictions or limitations identified on the form.  Passport from the Federated States of Micronesia (FSM) or the Republic of the Marshall Islands (RMI) with Form I-94 or Form I-94A indicating nonimmigrant admission under the Compact of Free Association Between the United States and the FSM or RMI		9. Driver's license issued by a Canadian government authority  For persons under age 18 who are unable to present a document listed above:  10. School record or report card  11. Clinic, doctor, or hospital record  12. Day-care or nursery school record	7.	States (Form I-179)  Employment authorization document issued by the Department of Homeland Security

Examples of many of these documents appear in the Handbook for Employers (M-274).

Refer to the instructions for more information about acceptable receipts.

Department of the Treasury Internal Revenue Service

#### **Employee's Withholding Certificate**

► Complete Form W-4 so that your employer can withhold the correct federal income tax from your pay. ▶ Give Form W-4 to your employer.

OMB No. 1545-0074

▶ Your withholding is subject to review by the IRS. (a) First name and middle initial Last name (b) Social security number Step 1: **Enter** Address ▶ Does your name match the Personal name on your social security card? If not, to ensure you get Information City or town, state, and ZIP code SSA at 800-772-1213 or go to www.ssa.gov. Single or Married filing separately Married filing jointly (or Qualifying widow(er)) Head of household (Check only if you're unmarried and pay more than half the costs of keeping up a home for yourself and a qualifying individual.) Complete Steps 2-4 ONLY if they apply to you; otherwise, skip to Step 5. See page 2 for more information on each step, who can claim exemption from withholding, when to use the online estimator, and privacy. Complete this step if you (1) hold more than one job at a time, or (2) are married filing jointly and your spouse Step 2: also works. The correct amount of withholding depends on income earned from all of these jobs, **Multiple Jobs** or Spouse Do only one of the following. Works (a) Use the estimator at www.irs.gov/W4App for most accurate withholding for this step (and Steps 3-4); or (b) Use the Multiple Jobs Worksheet on page 3 and enter the result in Step 4(c) below for roughly accurate withholding; or (c) If there are only two jobs total, you may check this box. Do the same on Form W-4 for the other job. This option is accurate for jobs with similar pay; otherwise, more tax than necessary may be withheld . . . . . . . . . . . TIP: To be accurate, submit a 2020 Form W-4 for all other jobs. If you (or your spouse) have self-employment income, including as an independent contractor, use the estimator. Complete Steps 3-4(b) on Form W-4 for only ONE of these jobs. Leave those steps blank for the other jobs. (Your withholding will be most accurate if you complete Steps 3-4(b) on the Form W-4 for the highest paying job.) Step 3: If your income will be \$200,000 or less (\$400,000 or less if married filing jointly): Claim Multiply the number of qualifying children under age 17 by \$2.000 ▶ \$ **Dependents** Multiply the number of other dependents by \$500 . . . . . ▶ \$ Add the amounts above and enter the total here . . . . . . . . . . . 3 \$ Step 4 (a) Other income (not from jobs). If you want tax withheld for other income you expect this year that won't have withholding, enter the amount of other income here. This may (optional): include interest, dividends, and retirement income . . . . . . . . . . . . . . . 4(a) \$ Other **Adjustments** (b) Deductions. If you expect to claim deductions other than the standard deduction and want to reduce your withholding, use the Deductions Worksheet on page 3 and 4(b) |\$ (c) Extra withholding. Enter any additional tax you want withheld each pay period 4(c) \$ Step 5: Under penalties of perjury, I declare that this certificate, to the best of my knowledge and belief, is true, correct, and complete, Sign Here Employee's signature (This form is not valid unless you sign it.) Date **Employers** Employer's name and address First date of Employer identification employment number (EIN) Only

Form W-4 (2020) Page 2

#### **General Instructions**

#### **Future Developments**

For the latest information about developments related to Form W-4, such as legislation enacted after it was published, go to www.irs.gov/FormW4.

#### **Purpose of Form**

Complete Form W-4 so that your employer can withhold the correct federal income tax from your pay. If too little is withheld, you will generally owe tax when you file your tax return and may owe a penalty. If too much is withheld, you will generally be due a refund. Complete a new Form W-4 when changes to your personal or financial situation would change the entries on the form. For more information on withholding and when you must furnish a new Form W-4, see Pub. 505.

Exemption from withholding. You may claim exemption from withholding for 2020 if you meet both of the following conditions: you had no federal income tax liability in 2019 and you expect to have no federal income tax liability in 2020. You had no federal income tax liability in 2019 if (1) your total tax on line 16 on your 2019 Form 1040 or 1040-SR is zero (or less than the sum of lines 18a, 18b, and 18c), or (2) you were not required to file a return because your income was below the filing threshold for your correct filing status. If you claim exemption, you will have no income tax withheld from your paycheck and may owe taxes and penalties when you file your 2020 tax return. To claim exemption from withholding, certify that you meet both of the conditions above by writing "Exempt" on Form W-4 in the space below Step 4(c). Then, complete Steps 1(a), 1(b), and 5. Do not complete any other steps. You will need to submit a new Form W-4 by February 16, 2021.

**Your privacy.** If you prefer to limit information provided in Steps 2 through 4, use the online estimator, which will also increase accuracy.

As an alternative to the estimator: if you have concerns with Step 2(c), you may choose Step 2(b); if you have concerns with Step 4(a), you may enter an additional amount you want withheld per pay period in Step 4(c). If this is the only job in your household, you may instead check the box in Step 2(c), which will increase your withholding and significantly reduce your paycheck (often by thousands of dollars over the year).

**When to use the estimator.** Consider using the estimator at www.irs.gov/W4App if you:

- 1. Expect to work only part of the year:
- 2. Have dividend or capital gain income, or are subject to additional taxes, such as the additional Medicare tax;
- Have self-employment income (see below); or
- Prefer the most accurate withholding for multiple job situations.

**Self-employment.** Generally, you will owe both income and self-employment taxes on any self-employment income you receive separate from the wages you receive as an employee. If you want to pay these taxes through withholding from your wages, use the estimator at www.irs.gov/W4App to figure the amount to have withheld.

**Nonresident alien.** If you're a nonresident alien, see Notice 1392, Supplemental Form W-4 Instructions for Nonresident Aliens, before completing this form.

### **Specific Instructions**

**Step 1(c).** Check your anticipated filing status. This will determine the standard deduction and tax rates used to compute your withholding.

**Step 2.** Use this step if you (1) have more than one job at the same time, or (2) are married filing jointly and you and your spouse both work.

Option (a) most accurately calculates the additional tax you need to have withheld, while option (b) does so with a little less accuracy.

If you (and your spouse) have a total of only two jobs, you may instead check the box in option (c). The box must also be checked on the Form W-4 for the other job. If the box is checked, the standard deduction and tax brackets will be cut in half for each job to calculate withholding. This option is roughly accurate for jobs with similar pay; otherwise, more tax than necessary may be withheld, and this extra amount will be larger the greater the difference in pay is between the two jobs.



**Multiple jobs.** Complete Steps 3 through 4(b) on only one Form W-4. Withholding will be most accurate if you do this on the Form W-4 for the highest paying job.

Step 3. Step 3 of Form W-4 provides instructions for determining the amount of the child tax credit and the credit for other dependents that you may be able to claim when you file your tax return. To qualify for the child tax credit, the child must be under age 17 as of December 31, must be your dependent who generally lives with you for more than half the year, and must have the required social security number. You may be able to claim a credit for other dependents for whom a child tax credit can't be claimed. such as an older child or a qualifying relative. For additional eligibility requirements for these credits, see Pub. 972, Child Tax Credit and Credit for Other Dependents. You can also include other tax credits in this step, such as education tax credits and the foreign tax credit. To do so, add an estimate of the amount for the year to your credits for dependents and enter the total amount in Step 3. Including these credits will increase your paycheck and reduce the amount of any refund you may receive when you file your tax return.

#### Step 4 (optional).

Step 4(a). Enter in this step the total of your other estimated income for the year, if any. You shouldn't include income from any jobs or self-employment. If you complete Step 4(a), you likely won't have to make estimated tax payments for that income. If you prefer to pay estimated tax rather than having tax on other income withheld from your paycheck, see Form 1040-ES, Estimated Tax for Individuals.

Step 4(b). Enter in this step the amount from the Deductions Worksheet, line 5, if you expect to claim deductions other than the basic standard deduction on your 2020 tax return and want to reduce your withholding to account for these deductions. This includes both itemized deductions and other deductions such as for student loan interest and IRAs.

Step 4(c). Enter in this step any additional tax you want withheld from your pay each pay period, including any amounts from the Multiple Jobs Worksheet, line 4. Entering an amount here will reduce your paycheck and will either increase your refund or reduce any amount of tax that you owe.

#### Step 2(b) - Multiple Jobs Worksheet (Keep for your records.)



If you choose the option in Step 2(b) on Form W-4, complete this worksheet (which calculates the total extra tax for all jobs) on **only ONE** Form W-4. Withholding will be most accurate if you complete the worksheet and enter the result on the Form W-4 for the highest paying job.

**Note:** If more than one job has annual wages of more than \$120,000 or there are more than three jobs, see Pub. 505 for additional tables; or, you can use the online withholding estimator at www.irs.gov/W4App.

2a	\$
2b	\$
2c	\$
3	
4	\$
1	\$
2	\$
3	\$
4	\$
5	\$
-	2b 2c 3 4

Privacy Act and Paperwork Reduction Act Notice. We ask for the information on this form to carry out the Internal Revenue laws of the United States. Internal Revenue Code sections 3402(f)(2) and 6109 and their regulations require you to provide this information; your employer uses it to determine your federal income tax withholding. Failure to provide a properly completed form will result in your being treated as a single person with no other entries on the form; providing fraudulent information may subject you to penalties. Routine uses of this information include giving it to the Department of Justice for civil and criminal litigation; to cities, states, the District of Columbia, and U.S. commonwealths and possessions for use in administering their tax laws; and to the Department of Health and Human Services for use in the National Directory of New Hires. We may also disclose this information to other countries under a tax treaty, to federal and state agencies to enforce federal nontax criminal laws, or to federal law enforcement and intelligence agencies to combat terrorism.

You are not required to provide the information requested on a form that is subject to the Paperwork Reduction Act unless the form displays a valid OMB control number. Books or records relating to a form or its instructions must be retained as long as their contents may become material in the administration of any Internal Revenue law. Generally, tax returns and return information are confidential, as required by Code section 6103.

The average time and expenses required to complete and file this form will vary depending on individual circumstances. For estimated averages, see the instructions for your income tax return.

If you have suggestions for making this form simpler, we would be happy to hear from you. See the instructions for your income tax return.

	Married Filing Jointly or Qualifying Widow(er)											
Higher Paying Job												
Annual Taxable Wage & Salary	\$0 - 9,999	\$10,000 - 19,999	\$20,000 - 29,999	\$30,000 - 39,999	\$40,000 - 49,999	\$50,000 - 59,999	\$60,000 - 69,999	\$70,000 - 79,999	\$80,000 - 89,999	\$90,000 - 99,999	\$100,000 - 109,999	\$110,000 - 120,000
\$0 - 9,999	\$0	\$220	\$850	\$900	\$1,020	\$1,020	\$1,020	\$1,020	\$1,020	\$1,210	\$1,870	\$1,870
\$10,000 - 19,999	220	1,220	1,900	2,100	2,220	2,220	2,220	2,220	2,410	3,410	4,070	4,070
\$20,000 - 29,999	850	1,900	2,730	2,930	3,050	3,050	3,050	3,240	4,240	5,240	5,900	5,900
\$30,000 - 39,999	900	2,100	2,930	3,130	3,250	3,250	3,440	4,440	5,440	6,440	7,100	7,100
\$40,000 - 49,999	1,020	2,220	3,050	3,250	3,370	3,570	4,570	5,570	6,570	7,570	8,220	8,220
\$50,000 - 59,999	1,020	2,220	3,050	3,250	3,570	4,570	5,570	6,570	7,570	8,570	9,220	9,220
\$60,000 - 69,999	1,020	2,220	3,050	3,440	4,570	5,570	6,570	7,570	8,570	9,570	10,220	10,220
\$70,000 - 79,999	1,020	2,220	3,240	4,440	5,570	6,570	7,570	8,570	9,570	10,570	11,220	11,240
\$80,000 - 99,999	1,060	3,260	5,090	6,290	7,420	8,420	9,420	10,420	11,420	12,420	13,260	13,460
\$100,000 - 149,999	1,870	4,070	5,900	7,100	8,220	9,320	10,520	11,720	12,920	14,120	14,980	15,180
\$150,000 - 239,999	2,040	4,440	6,470	7,870	9,190	10,390	11,590	12,790	13,990	15,190	16,050	16,250
\$240,000 - 259,999	2,040	4,440	6,470	7,870	9,190	10,390	11,590	12,790	13,990	15,520	17,170	18,170
\$260,000 - 279,999	2,040	4,440	6,470	7,870	9,190	10,390	11,590	13,120	15,120	17,120	18,770	19,770
\$280,000 - 299,999 \$300,000 - 319,999	2,040	4,440	6,470	7,870	9,190	10,720	12,720	14,720	16,720	18,720	20,370	21,370
\$320,000 - 364,999	2,040	4,440 5,920	6,470 8,750	8,200 10,950	10,320	12,320 15,070	14,320 17,070	16,320 19,070	18,320 21,290	20,320	21,970	22,970 26,840
\$365,000 - 524,999	2,720	6,470	9,600	12,100	14,530	16,830	19,130	21,430	23,730	23,590 26,030	25,540 27,980	29,280
\$525,000 and over	3,140	6,840	10,170	12,870	15,500	18,000	20,500	23,000	25,500	28,000	30,150	31,650
4020,000 4114 0101	0,140	1 0,010				d Filing S			20,000	20,000	00,100	01,000
Higher Paying Job						Job Annu			Salary			
Annual Taxable	\$0 -	\$10,000 -	\$20,000 -	\$30,000 -	\$40,000 -	\$50,000 -	\$60,000 -	\$70,000 -	\$80,000 -	\$90,000 -	\$100,000 -	\$110,000 -
Wage & Salary	9,999	19,999	29,999	39,999	49,999	59,999	69,999	79,999	89,999	99,999	109,999	120,000
\$0 - 9,999	\$460	\$940	\$1,020	\$1,020	\$1,470	\$1,870	\$1,870	\$1,870	\$1,870	\$2,040	\$2,040	\$2,040
\$10,000 - 19,999	940	1,530	1,610	2,060	3,060	3,460	3,460	3,460	3,640	3,830	3,830	3,830
\$20,000 - 29,999	1,020	1,610	2,130	3,130	4,130	4,540	4,540	4,720	4,920	5,110	5,110	5,110
\$30,000 - 39,999	1,020	2,060	3,130	4,130	5,130	5,540	5,720	5,920	6,120	6,310	6,310	6,310
\$40,000 - 59,999	1,870	3,460	4,540	5,540	6,690	7,290	7,490	7,690	7,890	8,080	8,080	8,080
\$60,000 - 79,999	1,870	3,460	4,690	5,890	7,090	7,690	7,890	8,090	8,290	8,480	9,260	10,060
\$80,000 - 99,999 \$100,000 - 124,999	2,020 2,040	3,810 3,830	5,090 5,110	6,290 6,310	7,490	8,090 8,430	8,290 9,430	8,490	9,470	10,460	11,260	12,060
\$125,000 - 149,999	2,040	3,830	5,110	7,030	7,510 9,030	10,430	11,430	10,430	11,430	12,420	13,520	14,620
\$150,000 - 174,999	2,360	4,950	7,030	9,030	11,030	12,730	14,030	15,330	16,630	15,170 17,920	16,270 19,020	17,370 20,120
\$175,000 - 199,999	2,720	5,310	7,540	9,840	12,140	13,840	15,140	16,440	17,740	19,030	20,130	21,230
\$200,000 - 249,999	2,970	5,860	8,240	10,540	12,840	14,540	15,840	17,140	18,440	19,730	20,830	21,930
\$250,000 - 399,999	2,970	5,860	8,240	10,540	12,840	14,540	15,840	17,140	18,440	19,730	20,830	21,930
\$400,000 - 449,999	2,970	5,860	8,240	10,540	12,840	14,540	15,840	17,140	18,450	19,940	21,240	22,540
\$450,000 and over	3,140	6,230	8,810	11,310	13,810	15,710	17,210	18,710	20,210	21,700	23,000	24,300
					~~~	Househo						
Higher Paying Job		1		T	7	Job Annu	al Taxable	Wage &	Salary	<b>,</b>		<del>,</del>
Annual Taxable Wage & Salary	\$0 - 9,999	\$10,000 - 19,999	\$20,000 - 29,999	\$30,000 - 39,999	\$40,000 - 49,999	\$50,000 - 59,999	\$60,000 - 69,999	\$70,000 - 79,999	\$80,000 - 89,999	\$90,000 - 99,999	\$100,000 - 109,999	\$110,000 - 120,000
\$0 - 9,999	\$0	\$830	\$930	\$1,020	\$1,020	\$1,020	\$1,480	\$1,870	\$1,870	\$1,930	\$2,040	\$2,040
\$10,000 - 19,999	830	1,920	2,130	2,220	2,220	2,680	3,680	4,070	4,130	4,330	4,440	4,440
\$20,000 - 29,999	930	2,130	2,350	2,430	2,900	3,900	4,900	5,340	5,540	5,740	5,850	5,850
\$30,000 - 39,999	1,020	2,220	2,430	2,980	3,980	4,980	6,040	6,630	6,830	7,030	7,140	7,140
\$40,000 - 59,999	1,020	2,530	3,750	4,830	5,860	7,060	8,260	8,850	9,050	9,250	9,360	9,360
\$60,000 - 79,999	1,870	4,070	5,310	6,600	7,800	9,000	10,200	10,780	10,980	11,180	11,580	12,380
\$80,000 - 99,999	1,900	4,300	5,710	7,000	8,200	9,400	10,600	11,180	11,670	12,670	13,580	14,380
\$100,000 - 124,999 \$125,000 - 149,999	2,040	4,440 4,440	5,850	7,140	8,340	9,540	11,360	12,750	13,750	14,750	15,770	16,870
\$125,000 - 149,999 \$150,000 - 174,999	2,040	1	5,850	7,360	9,360	11,360	13,360	14,750	16,010	17,310	18,520	19,620
\$175,000 - 174,999 \$175,000 - 199,999	2,040 2,720	5,060 5,920	7,280 8,130	9,360 10,480	11,360 12,780	13,480 15,080	15,780 17,380	17,460 19,070	18,760 20,370	20,060	21,270	22,370
\$200,000 - 249,999	2,720	6,470	8,990	11,370	13,670	15,000	18,270	19,070	21,260	21,670 22,560	22,880	23,980 24,870
\$250,000 - 349,999	2,970	6,470	8,990	11,370	13,670	15,970	18,270	19,960	21,260	22,560	23,770	24,870
\$350,000 - 449,999		6,470	8,990	11,370	13,670	15,970	18,270	19,960	21,260	22,560	23,900	25,200
\$450,000 and over	3,140	6,840	9,560	12,140	14,640	17,140	19,640	21,530	23,030	24,530	25,940	27,240
											<u> </u>	

## AR4EC

Drint Cull Name

## STATE OF ARKANSAS **Employee's Withholding Exemption Certificate**



Print Full Name	Social Security Number	
Print Home Address_	City State _	Zip
Employee: File this form with your employer.	How to Claim Your Withholding See instructions below  1. CHECK ONE OF THE FOLLOWING FOR EXEMPTIONS CLAIMED a. You claim yourself. (Enter one exemption)	Number of Exemptions Claimed
Otherwise, your employer must withhold state income tax from your wages without exemptions or	b. You claim yourself and your spouse. (Enter two exemptions)	
Employer: Keep this certificate with your records.	3. TOTAL EXEMPTIONS. (Add Lines 1a, b, c, and 2) If no exemptions or dependents are claimed, enter zero	
	I qualify for the low income tax rates. (See below for details)	Yes No
	ber of exemptions and dependents claimed on this certificate does not exceed the number to which I am o	entitled.

### Instructions

TYPES OF INCOME - This form can be used for withholding on all types of income, including pensions and annuities.

**NUMBER OF EXEMPTIONS** – (Husband and/or Wife) Do not claim more than the correct number of exemptions. However, if you expect to owe more income tax for the year, you may increase your withholding by claiming a smaller number of exemptions and/or dependents, or you may enter into an agreement with your employer to have additional amounts withheld. This is especially important if you have more than one employer, or if both husband and wife are employed.

**DEPENDENTS** – To qualify as your dependent (line 2 of form), a person must (a) receive more than 1/2 of their support from you for the year, (b) not be claimed as a dependent by such person's spouse, (c) be a citizen or resident of the United States, and (d) have your home as their principal residence and be a member of your household for the entire year or be related to you as follows: son, daughter, grandchild, stepson, stepdaughter, son-in-law or daughter-in-law; your father, mother, grandparent, stepfather, stepmother, father-in-law or mother-in-law; your brother, sister, stepbrother, stepsister, half brother, half sister, brother-in-law or sister-in-law; your uncle. aunt, nephew or niece (but only if related by blood).

CHANGES IN EXEMPTIONS OR DEPENDENTS - You may file a new certificate at any time if the number of exemptions or dependents INCREASES. You must file a new certificate within 10 days if the number of exemptions or dependents previously claimed by you DECREASES for any of the following reasons:

- (a) Your spouse for whom you have been claiming an exemption is divorced or legally separated from you, or claims his or her own exemption on a separate certificate, or
- (b) The support you provide to a dependent for whom you claimed an exemption is expected to be less than half of the total support for the year. OTHER DECREASES in exemptions or dependents, such as the death of a spouse or a dependent, does not affect your withholding until next year, but requires the filing of a new certificate by December 1 of the year in which they occur.

You may claim additional amounts of withholding tax if desired. This will apply most often when you have income other than wages.

You qualify for the low income tax rates if your total income from all sources is:

(a)	Single	\$11,737	to	\$15,200
(b)	Married Filing Jointly	\$19,794	to	\$24,300
	(1 or less dependents)			
(c)	Married Filing Jointly	\$23,822	to	\$30,500
	(2 or more dependents)			
(d)	Head of Household/Qualifying Widow(er)	\$16,687	to	\$21,400
	(1 or less dependents)			
(e)	Head of Household/Qualifying Widow(er)	\$19,892	to	\$24,300
	(2 or more dependents)			

For additional information consult your employer or write to:

Arkansas Withholding Tax Section P. O. Box 8055 Little Rock, Arkansas 72203-8055

# Arkansas State University Part-time Non-Student Employee Acknowledgement Form

Last Name	First Name
Address (for W-	2)
City	State Zip Code
Phonexx	(-XXX-XXXX
TIAA Social Security Alternative Plan	This plan is mandatory for all part-time, seasonal, and temporary workers. It takes the place of the social security tax. The 7.5% of gross pay that would normally go to social security will now be put in a retirement account for each individual with TIAA-CREF. This allows each employee to have control over their investment for their retirement dollars. Medicare tax (1.45%) will still be withheld and contributed for all employees. Participation in this plan may result in your contributions to a personal IRA account not being allowed by the IRS as a tax savings plan. You are encouraged to consult with your accountant regarding any potential implications.  TIAA-CREF will send you a packet in the mail explaining the plan in further detail and providing an allotment to allow you to choose how you would like to invest your dollars. Until that time, your money will go into a money market account.
403(b) Salary Reduction Plan	As a part-time (non-student) employee who works greater than 20 hours per week or more than 1,000 hours during the fiscal year, I acknowledge that I have been informed of my right to enroll in a supplemental retirement plan with Arkansas State University.
State of Arkansas Statement of Selective Service Status	I understand that to be eligible for employment with the State of Arkansas I must register, or be exempt from registration, with the Selective Service System in accordance with the Military Selective Act, 50 USC Appx §452 et seq., as specified in Act 228 of the 1997 Acts of the Arkansas General Assembly.  I swear or affirm under penalty of perjury that I have registered with the Selective Service System.  I swear or affirm under penalty of perjury that I am exempt from registration because of the following provision(s) of the Military Selective Service Act or Act 228 of the 1997 Acts of the Arkansas General Assembly:  O I am female.  O I am under 18 years of age.  O I am 26 years of age or over.  O I am currently a member of the armed forces on active duty.  O I am an exempted resident alien.  O Other:
Employee Signa	ature Date

## **ARKANSAS STATE UNIVERSITY**

# Electronic Notification Acknowledgement Form Part-time Employees

oblig opti	, ac gated to provide employees with requi on to receive these notifications electron	knowledge that Arkansas State University is ired employer notifications and I have the ically via campus email.
	Yes, I agree to receive information, incl notifications electronically via email.	uding federally required
	No, I do not consent to receiving notific that this information be mailed to my ho	ations electronically via email and prefer ome address.
Emi	ployee Signature	Date

## Payment Authorization Form

## **Employee Information**

First Name:		Last Name:			
Address:					
City		State	Zip Code		
Phone Number:		Email Address:			
Social Security Number:		Date of Birth:			
Two Convenien	t Options				
To receive your pay via diresection provided below. (Y	ect deposit or to enroll for the Focus Ca ou may choose either direct deposit or copy of check here. Do not attach a	the Focus Card.) If choosin	g direct deposit, please		
☐ Direct Deposit	By choosing traditional direct deposit, your pay will be deposited directly into your checking or savings account each payday. Fill out your account information below:				
Bank Name:		Account Number:			
ABA Routing/Transit #:		Type of Account:	king 🗖 Savings		
☐ Focus Card	With the Focus Card, your pay will be onto a prepaid Visa® card. Your card used anywhere Visa debit cards are worldwide. It's not a credit card and cost to enroll.	d can be accepted	nk Inches		
Important Information A	bout Procedures For Opening A Ne	w Account			
To help the government fight verify, and record information	the funding of terrorism and money laundering that identifies each person who opens an actilate of birth, and other information that will all	ng activities, Federal law requires ecount What this means for you:	when you open an account, we will		
I hereby authorize my employ in error to my Focus Card or b	er to initiate credit entries (deposits) and to in ank account. This authorization will remain in	litiate, if necessary, debit entries an effect until cancelled by me with	and adjustments for any credit entries n written notification to my employer.		
Signature		Date:			

Contact A-State Human Resources or Payroll at (870) 972-3454 for additional information.



#### State-Specific Pre-Enrollment Disclosure

The following is important information about the U.S. Bank Focus Card™ program offered by your employer to you. If you are employed in **Connecticut**, **Hawaii**, **Illinois**, **Minnesota**, **New Hampshire**, **Pennsylvania**, **or Vermont**, the following disclosures are applicable. Additionally, please review the U.S. Bank Focus Cardholder Agreement and Fee Schedule provided to you by your employer for a complete list of terms and conditions and a complete list of fees associated with the card.

<u>Payroll Options</u>. You have options as to how you receive your pay, including the Focus Card. Use of the Focus Card is voluntary and you may change the method by which you receive your pay at any time. Please see your employer for more details.

Access to Your Wages at No Charge. There are several ways to access your pay from the payroll card account without incurring fees. Domestic withdrawals at any in-network ATM, as indicated on your Fee Schedule, are always at no cost. In addition, there is no cost for domestic teller assisted cash withdrawals of up to your full net wages at any bank that is a member of the Visa® or Mastercard® network. You also may use your card to make purchases and pay bills wherever Visa or Mastercard cards are accepted, and many merchants provide cash back with purchases without fees. Foreign transactions may carry fees. Please note, there are transaction limits (including limits on withdrawals) on the Focus Card which protect you from potential fraud. In the event your balance exceeds the daily withdrawal limits and you would like to withdraw all your funds, please contact Cardholder Services at 877-474-0010.

<u>Fees</u>. The Focus Card offers many transactions and services at no cost. There are no fees for enrolling and participating in the program, receiving and activating your first payroll card or accessing your wages as specified above. In addition, there are no overdraft or dormancy fees associated with your card. Some transactions, services and methods of cash access may have fees. The Cardholder Agreement and Fee Schedule provided to you together with and as part of this disclosure contain a list of fees that may be incurred when using your card. Please retain the Fee Schedule so you can refer to it. You may not be charged any fees by the card issuer other than those listed on the Fee Schedule. *Third-parties, like ATM operators and mobile carriers, may charge you additional fees when you use their services*.

<u>How to Access Your Account Balance</u>. You can access your account balance online at <a href="https://www.usbankfocus.com">www.usbankfocus.com</a> or by calling Cardholder Services at 877-474-0010. You can use these services 24 hours a day, 7 days a week without cost. You also can sign up to receive email or text alerts with information about your account balance. Log into <a href="https://www.usbankfocus.com">www.usbankfocus.com</a> and select the "ALERTS" option to sign up for these services.

<u>How to Access Transaction Histories</u>. You may view a 12-month history of your payroll card transactions electronically at <u>www.usbankfocus.com</u>. You also may request a 24-month written history, or elect to receive monthly written transaction histories, by calling 877-474-0010 or writing us at Focus Card Services, P.O. Box 9127, Minneapolis, MN 55480.

Closing Your Payroll Card Account. You may close your payroll card account by calling Cardholder Services at 877-474-0010. When you close your account, you may request the remaining balance in the Focus Card account be paid to you by check. You will not be charged a fee for closing the account or receiving your balance by check. However, you will be responsible for applicable fees associated with transactions you authorized prior to closing the account.

Link to Credit. The Focus Card does not offer credit features.

**Replacement Card Prior to Expiration Date.** U.S. Bank will send you a replacement card at no cost before the expiration date listed on your card.

#### Additional Disclosures Required for Hawaii Employees

The following list of payroll card services is guaranteed under Hawaii law, at no cost to you, for any payroll card program offered by your employer.

- (A) A pay card on which the employee may receive wages, with no charges for the application, initiation, transfer, loading of wages by the employer, privilege of participation, or distribution or delivery of the initial pay card;
- (B) The ability during each pay period for the employee to make at least three free withdrawals from the pay card, at least one of which permits withdrawal of the full amount of the employee's net wages on the card at a federally insured depository institution or at that institution's affiliated automated teller machines;
- (C) The means to access the balance or other account information online and via telephone offered in conjunction with the pay card in a manner that allows access to account information twenty-four hours a day, seven days a week without charging a fee;
- (D) A readily accessible electronic history of the employee's account transactions covering at least sixty days preceding the date the employee electronically accesses the account;
- (E) Upon oral or written request or via electronic signature by the employee, a written history of the account transactions covering at least sixty days prior to the employee's request;
- (F) No pay card shall assess an overdraft fee or charge pursuant to the pay card issuer's overdraft service against an employee or the employee's account; and
- (G) The ability to close a pay card account and obtain payment of the balance remaining on the card.

#### **Additional Disclosures Required for Minnesota Employees**

Wages may be paid by electronic fund transfer to a payroll card account as follows:

- **1.** Consent. The employee must voluntarily consent in writing before the employer initiates payment to a payroll card account. Consent may not be made a condition of hire or continued employment.
  - The written consent must be signed by the employee and must include the terms and conditions of the payroll card account option (including an itemized list fees that may be deducted by the employer or issuer, the dollar amount of such fees, the requirements of the payroll card statute, and whether third parties may assess additional fees). A copy of the signed written consent must be provided to the employee and retained by the employer.
- **2.** Cash Access. The employee must be provided a free transaction that permits withdrawal of up to the employee's entire net pay, as stated on his earning statement, on or after his regular payday.
- 3. Ownership of Funds. Wages paid to a payroll card account must be owned by the employee.
- **4.** *Disclosures.* When offering an employee the option of receiving wages to a payroll card account, an employer must provide the employee with written disclosure (in plain language) of the following:
  - All of the employee's wage payment options;
  - The terms and conditions of the payroll card account option, including a complete itemized list of all fees that may be deducted by the employer or card issuer, the dollar amount of each fee, and the requirements of the payroll card statute; and
  - Whether third parties may assess additional transaction fees. A copy of the written disclosure must be provided to the employee.
- **5.** Language Requirements. If the employer offers a payroll card to an employee using materials in a language other than English, all disclosures, written consent, and payroll card account agreements must be in that other language.
- 6. Fees. An employer may not charge an employee for:
  - Initiation, participation, loading or other fees to receive wages to a payroll card account;
  - Inactivity or dormancy; and
  - Fees not disclosed to the employee.
- **7. Transaction Histories.** Upon the employee's written or oral request, the employer must provide the employee with one free transaction history each month that includes all deposits, withdrawals, deductions or charges by any entity from or to the payroll card account.
- **8.** Link to Credit. The card or card account may not be linked to any form of credit including a loan against future pay or a cash advance on future pay.
- **9.** Change in Payment Option. An employee may request to be paid using another method allowed by law. Upon receiving such a request, the employer must provide the employee with a form on which to indicate the change. The employer must begin payment using the other method within 14 days of the employee's request.
- 10. Issuer Registration. A payroll card issuer must file with the commissioner a notice containing the entity's true name, any other names under which it does business, the entity's address (not a P.O. Box), and its telephone number.
- 11. Personal Information. Unless an employee consents in writing, information generated by the employee's possession or use of a

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payroll card or card account may only be used to process transactions and administer the card and card account.

#### **Additional Disclosures Required for New Hampshire Employees**

Payroll cards may be used, provided:

- Consent. Before the employer initiates payment to the payroll card account, the employee must voluntarily consent in writing to the payment method.
  - Consent may not be a condition of hire or continued employment.
  - The written consent, signed by the employee, must include the terms and conditions of the payroll card account option.
- 2. Payment Options. The employee must be offered the option of receiving a paper paycheck.
- 3. Cash Access. The employee must be provided at least one free means of withdrawing up to the full amount of the balance in the payroll card account during each pay period at a financial institution or other location convenient to the place of employment.
- 4. Disclosures. The employer must provide the employee with written disclosure, in plain language, of:
  - All of the employee's wage payment options;
  - The terms and conditions of the payroll card option including, but not limited to:
    - o A complete itemized list of all known fees that may be deducted by the employer or card issuer; and
    - The requirements of the payroll card statute.
  - Whether third parties may assess additional transaction fees.
- 5. Fees and Costs.
  - None of the employer's costs associated with the payroll card or card account may be passed on to the employee.
- 6. Change in Terms. The employer must provide written notice of any changes to the terms and conditions of the payroll card, including the itemized list of fees, and obtain written assent from the employee that he/she voluntarily consents to receive wages to the payroll card subject to the change. The employer is responsible for any increase in fees charged to the employee before written notice of the change is provided to the employee.
- 7. Change in Payment Options. The employee must be able to discontinue the receipt of wages to the payroll card at any time, without penalty.
- **8. Expiration of Funds.** If the card has an expiration date, the employer must agree to provide a replacement card before the expiration date at no cost to the employee.

## U.S. Bank Focus Card™ Fee Schedule

Program Number: 87265214 POD

Effective Date: July 2018

All fees	Amount	<b>Details</b>
Add money		
Check Reload	5% or \$5.00 min.	This is not our fee and is subject to change. Fee of up to 5% of check value may apply when cashing a check to load your card at Ingo Money. Money in Minutes - 2% (pre-printed payroll or gov't checks) or 5% (all other checks), minimum \$5.00. Money in 10 Days - no fee. Fee is deducted from check value. Go to ingomoney.com for more information.
Cash Reload – Visa Readylink	Varies by retailer	Third party fee may apply when reloading your card at a Visa Readylink network. Fee is paid to third party at the time of reload. Go to <u>usa.visa.com/pay-with-visa/cards/services-locator.html</u> for locations.
Cash Reload – GreenDot	\$5.95	This is not our fee and is subject to change. Fee of up to \$5.95 may apply when reloading your card at GreenDot <sup>®</sup> . Fee is paid to third party at the time of reload. Go to <i>greendot.com</i> for more information.
Get cash		
ATM Withdrawal (in- network)	\$0	This is our fee per withdrawal. "In-network" refers to the U.S. Bank or MoneyPass® ATM networks. Locations can be found at <u>usbank.com/locations</u> or <u>moneypass.com/atm-locator</u> .
ATM Withdrawal (out- of-network)	\$1.75	This is our fee per withdrawal. "Out-of-network" refers to all the ATMs outside of the U.S. Bank or MoneyPass ATM networks. You may also be charged a fee by the ATM operator even if you do not complete a transaction.
Teller Cash Withdrawal	\$0	This is our fee for when you withdraw cash from your card from a teller at a bank or credit union that accepts Visa®.
Information		
ATM Balance Inquiry (in-network)	\$0	This is our fee per inquiry. "In-network" refers to the U.S. Bank or MoneyPass ATM networks. Locations can be found at <u>usbank.com/locations</u> or <u>moneypass.com/atm-locator</u> .
ATM Balance Inquiry (out-of-network)	\$1.00	This is our fee per inquiry. "Out-of-network" refers to all the ATMs outside of the U.S. Bank or MoneyPass ATM networks. You may also be charged a fee by the ATM operator.

Using your card outs	ide the U.S	S
International Transaction	3%	This is our fee which applies when you use your card for purchases at foreign merchants and for cash withdrawals from foreign ATMs and is a percentage of the transaction dollar amount, after any currency conversion. Some merchant and ATM transactions, even if you and/or the merchant or ATM are located in the United States, are considered foreign transactions under the applicable network rules, and we do not control how these merchants, ATMs and transactions are classified for this purpose. For Connecticut, Illinois and Pennsylvania workers, all international purchase fees are waived.
International ATM Withdrawal	\$3.00	This is our fee per withdrawal. You may also be charged a fee by the ATM operator even if you do not complete a transaction.
International ATM Balance Inquiry	\$1.00	This is our fee per inquiry. You may also be charged a fee by the ATM operator.
Other		
Card Replacement	\$5.00	This is our fee per replacement of your card, whether mailed to you with standard delivery (up to 10 business days) or provided to you by your employer/sponsor. This fee is waived for your first card replacement in a 12-month period. This fee will be charged for each additional replacement during the same 12 months. For Connecticut, Hawaii and Pennsylvania workers, this fee is waived.
Card Replacement Expedited Delivery	\$10.00	This is our fee for expedited delivery (up to 3 business days) charged in addition to any Card Replacement fee.
Card Replacement Overnight Delivery	\$20.00	This is our fee for overnight delivery charged in addition to any Card Replacement fee.
Inactivity	\$2.00	This is our fee charged each month after you have not completed a transaction using your card for 90 consecutive days. For Connecticut, Illinois, and Pennsylvania workers, this fee will be waived for the first 12 months of inactivity (based on cardholder-initiated balance changing transactions). For Texas residents, this fee will not be charged after one year of inactivity. For Minnesota and Montana workers this fee is waived. For Hawaii workers, accounts with a balance of \$0.00 and no activity for more than 6 months may be closed.

Your funds are eligible for FDIC insurance up to \$250,000. FDIC insurance protects deposits from loss due to bank insolvency. See <a href="mailto:fdic.gov/deposit/deposits/prepaid.html">fdic.gov/deposit/deposits/prepaid.html</a> for details.

No overdraft/credit feature.

Contact Cardholder Services by calling 1-877-474-0010, by mail at P.O. Box 551617, Jacksonville, FL 32255 or visit usbankfocus.com.

For general information about prepaid accounts, visit <u>cfpb.gov/prepaid</u>. If you have a complaint about a prepaid account, call the Consumer Financial Protection Bureau at 1-855-411-2372 or visit <u>cfpb.gov/complaint</u>.

Important information: Fee waivers for workers of a particular state are applied based on information from the sponsoring employer regarding your state of employment.

U.S. Bank Focus Card™ Pre-Acquisition Disclosure

Program Number: 87265214 POD

Reference Date: July 2018

\$0	Per purchase <b>\$0</b>	ATM withdrawal \$0 in-network \$1.75 out-of-netwo	Cash reload \$5.95*
ATM Balance	Inquiry (in-network or o	ut-of-network)	\$0 or \$1.00
Customer Ser	rvice (automated or live a	agent)	\$0 per call
Inactivity (after 90 days with no transactions)		ons)	\$2.00* per month
*This fee can be state of employm	ent or residence.	ly depending on how and where ways to access your funds	-
No overdraft/cre Your funds are el For general infon Find details and	edit feature. ligible for FDIC insurance. mation about prepaid acco	ounts, visit <i>cfpb.gov/prepaid.</i> services inside the card packa	

Date

signature also certifies my understanding and agreement with the above policies and procedures.

Employee's Signature

Department of Finance and Administration EMPLOYEE DISCLOSURE/CERTIFICATION AND EMPLOYMENT OF FAMILY MEMBERS FORM This form is to be completed by all interviewed applicants for a position. Definitions for the symbols in questions 1 - 9 below. Please read before continuing. A State Employee any employee of any state agency employed in a regular salary position or extra-help position not to include contract labor. B Former is defined as within the last 24 months. C Constitutional Officer: Governor, Lt. Governor, Secretary of State, Attorney General, Auditor, Treasurer, Land Commissioner, General Assembly member. D General Assembly member: member of the Arkansas Senate or the Arkansas House of Representatives. E Relative includes: husband, wife, mother, father, stepmother, stepfather, mother-in-law, father-in-law, sister, brother, stepsister, stepbrother, halfsister, half-brother, sister-in-law, brother-in-law, daughter, son, stepdaughter, stepson, daughter-in-law, son-in-law, aunt, uncle, first cousin, niece F Public Official: constitutional officers; members of the Arkansas General Assembly; the executive head of any agency, department, board, commission, institution, bureau, or council of the state. G Agency or State Agency: every agency, board, commission, department, division, institution, and other office of state government located within the executive branch of government and under the control of the Governor. Are you a current state employee<sup>A</sup>? ☐ Yes ☐ No

2.	∐ Yes ∐ No	Are you a former state employee	'?	
3. 3a. 3b.	☐ Yes ☐ No ☐ Yes ☐ No ▶ If "Yes," give	Are you a current <b>Constitutional O</b> lf "Yes", were you employed prior to date elected		
4. 4a.	☐ Yes ☐ No ► If "Yes," give	Are you the spouse of a current Cor spouse's name	estitutional Officer <sup>C</sup> ?	
4b.	☐ Yes ☐ No	position/office If "Yes", is your expected salary abo	ve \$37,649?	
5. 5.a	☐ Yes ☐ No ▶ If "Yes," give	Are you the spouse of a <b>former</b> <sup>B</sup> Cospouse's name position/office	enstitutional Officer <sup>C</sup> ?	
6. 6a.	☐ Yes ☐ No ► If "Yes," give	Are you or your spouse a <b>former</b> <sup>B</sup> (spouse's name	General Assembly member <sup>D</sup> ?	
6b.	☐ Yes ☐ No		to your leaving office or your spouse leaving office atted by legislative action, or if the maximum sal by legislative action?	
7. 7a.	☐ Yes ☐ No ► If "Yes," give	E	<b>ficial<sup>F</sup> in charge of the agency<sup>G</sup> in which you are a</b>	pplying?
8. 8a.	☐ Yes ☐ No  ► If "Yes," give	than the spouse) of a Constitution	loyee <sup>A</sup> , state board or commission member or are nal Officer <sup>C</sup> or an Arkansas General Assembly me	you a <b>relative<sup>E</sup> (other</b> mber <sup>D</sup> ?
		position/office relationship		
9.	☐ Yes ☐ No	If you checked "Yes" in #8 above applying?	, does this <b>relative</b> work within the <b>state age</b> r	ncy <sup>G</sup> in which you are
9 <b>a</b> .	Yes No		u are applying in the direct line of supervision of $y_0$ of the <b>relative</b> <sup>E</sup> .	our relative <sup>E</sup> or will the
ACA relat	I understand to be eligible for employment with the State of Arkansas, I must comply with Governor's Executive Order 98-04, ACA §21-1-401-408, and ACA §25-16-1001-1007. I also understand that as an employee of the State of Arkansas I am restricted from supervising or being supervised by a relative specifically under ACA §25-16-1002. If I am hired and it can be proven I falsely disclosed or failed to disclose information I could be subject to criminal, civil and/or administrative remedies. I assert that I have answered the above questions to the best of my knowledge.			
App	olicant Name (Please	Print) Applica	ant Signature	Date
E 2	ID A			

Department of Finance and Administration

## EMPLOYEE DISCLOSURE/CERTIFICATION AND EMPLOYMENT OF FAMILY MEMBERS FORM

#### INSTRUCTIONS FOR HIRING OFFICIAL:

Please check each table below with the disclosure statement and proceed accordingly for the position finalist(s) prior to a job offer.

No Approval Required  Answered "Yes" or Answered "No"		√ if applies	Hiring Official must check that the applicant completed all
			required information and answered all questions before signing form.
Question 1 and/or	2 Questions 1-9a		
*Hiring Official mo forward with hire	ust complete information belov packet to HR.	v and	Please initial to confirm:
Approval	by HR Manager Only	√ if applies	Hiring Official must check that the applicant completed all required information and answered all questions before signing
Answered "Ye	s" Answered "No"		form.
Question 4	Question 1 and 4b		
Question 5	Question 1		*Ensure the salary for 4b is correct.
Question 6	Question 6b		*Ensure the information for 6b is correct.
Question 8			
Question 9	Question 9a		Please initial to confirm:
	to your agency Human Resou	ırce	
	oval with the hire packet .	1000	
	appropriate Legislative ch and Governor	√ If applies	Hiring Official must check that the applicant completed all
Answered "Ye		-ppiioo	required information and answered all questions before signing form.
Question 3 and 3a			ioni.
Question 4 and 4b	·		*Ensure the date elected for 3b is after employment date.
Question 4 and 1	Question 4b		*Ensure the salary for 4b is correct.
Question 5	Question 4b	-	*Ensure spouse is a former <sup>B</sup> Constitutional Officer <sup>C</sup> .
(OPM) for review	to the Office of Personnel Man and submission to the Govern		Please initial to confirm:
(OPM) for review approved, to the I		nor, and if	Hiring Official must check that the applicant completed all
(OPM) for review approved, to the I	and submission to the Govern Personnel Subcommittee. nnot be Hired	nor, and if	Hiring Official must check that the applicant completed all required information and answered all questions before signing
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Department of Finance and Administration

#### **Employee Disclosure Requirements/Restrictions Notice**

#### **Employee Disclosure Requirements Notice**

Employees must report any benefit obtained from a state contract by a business in which the employee has a financial interest.

Ark. Code Ann. § 19-11-706. The employee must report this benefit to the Director of the Department of Finance and Administration.

A state employee has a "financial interest" in a business if he/she:

- has received within the past year, or is presently or in the future entitled to receive, more than one thousand dollars (\$1000) per year, as a result of ownership of any part of the business or any involvement in the business; or
- owns more than a five percent (5%) interest in the business; or
- holds a position in the business such as an officer, director, trustee, partner, employee, or the like, or holds any position of management.

Any employee who knows or should have known of such benefit and fails to report the benefit to the director is in breach of the ethical standards of Ark. Code Ann. § 19-11-706.

#### **Employee Disclosure Restriction Notice**

State employees are restricted from employment under certain conditions, both during the time they are employed by the state and after they leave state employment. Ark. Code Ann. § 19-11-709. These restrictions include:

- employment of a current state employee involved in procurement by any party contracting with the state;
- former employees from representing anyone other than the state under certain conditions in matters which the employee participated personally and substantially or which were within the former employee's official responsibility;
- partners of a current or former state employee from representing anyone other than the state under certain conditions;
- selling to the state after termination of employment under certain conditions.

Any current or former state employee who violates any of these employment restrictions is in breach of the ethical standards of Ark. Code Ann. § 19-11-709.

#### Penalties for Non-Compliance with Ark. Code Ann. § 19-11-706 or § 19-11-709

In addition to civil and administrative remedies, Ark. Code Ann. § 19-11-712 allows the Director of the Department of Finance and Administration to impose against any employee who fails to comply with Ark. Code Ann. § 19-11-706 or § 19-11-709, after notice and an opportunity for a hearing, any one or more of the following:

- oral or written warnings or reprimands;
- forfeiture of pay without suspension;
- · suspension with or without pay for specified periods of time; and
- · termination of employment.

Pursuant to Arkansas Code Annotated § 19-11-702, any employee who shall knowingly violate either of these restrictions shall be guilty of a felony and upon conviction shall be fined in any sum not to exceed ten thousand dollars (\$10,000) or shall be imprisoned not less than one (1) nor more than five (5) years, or shall be punished by both.

I certify that I have read this Notice and the Ark. Code Ann. §§ 19-11-706, 19-11-702, 19-11-709 and 19-11-712 on the reverse side. The Rule promulgated to enforce Executive Order 98-04 contain additional information regarding this reporting requirement at Section 13 & 14, posted by the agency in a conspicuous place. I understand that it is my responsibility to comply with the requirement to report as explained in Ark. Code Ann §§ 19-11-706 & 19-11-709, this Notice and the rule.

Agency Name	Hiring Official
Name of Applicant/Employee (Please Print)	
Applicant/Employee Signature	Date

See back for Arkansas Code Annotated §§ 19-11-702, 19-11-706, 19-11-709 and 19-11-712

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## EXCERPTS FROM ARKANSAS CODE ANNOTATED §19-11 SUBCHAPTER 7

#### 19-11-702. Penalties.

Any employee or nonemployee who shall knowingly violate any of the provisions of this subchapter shall be guilty of a felony and upon conviction shall be fined in any sum not to exceed ten thousand dollars (\$10,000) or shall be imprisoned not less than one (1) nor more than five (5) years, or shall be punished by both.

#### 19-11-706. Employee disclosure requirements.

- (a) Disclosure of Benefit Received from Contract. Any employee who has or obtains any benefit from any state contract with a business in which the employee has a financial interest shall report such benefit to the Director of the Department of Finance and Administration. However, this section shall not apply to a contract with a business where the employee's interest in the business has been placed in a disclosed blind trust.
- (b) Failure to Disclose Benefit Received. Any employee who knows or should have known of such benefit and fails to report the benefit to the director is in breach of the ethical standards of this section.

## 19-11-709. Restrictions on employment of present and former employees.

- (a) Contemporaneous Employment Prohibited. It shall be a breach of ethical standards for any employee who is involved in procurement to become or be, while such an employee, the employee of any party contracting with the state agency by which the employee is employed.
- (b) Restrictions on Former Employees In Matters Connected with Their Former Duties.
  - (1) Permanent Disqualification of Former Employee Personally Involved in a Particular Matter. It shall be a breach of ethical standards for any former employee knowingly to act as a principal or as an agent for anyone other than the state in connection with any:
    - (A) Judicial or other proceeding, application, request for a ruling, or other determination;
    - (B) Contract:
    - (C) Claim; or
    - (D) Charge or controversy in which the employee participated personally and substantially through decision, approval, disapproval, recommendation, rendering of advice, investigation, or otherwise while an employee, where the state is a party or has a direct and substantial interest.
  - (2) One-Year Representation Restriction Regarding Matters for Which a Former Employee Was Officially Responsible. It shall be a breach of ethical standards for any former employee, within one (1) year after cessation of the former employee's official responsibility in connection with any:
    - (A) Judicial or other proceeding, application, request for a ruling, or other determination;
    - (B) Contract;
    - (C) Claim; or
    - (D) Charge or controversy knowingly to act as a principal or as an agent for anyone other than the state in matters which were within the former employee's official responsibility, where the state is a party or has a direct or substantial interest.
- (c) Disqualification of Partners.
  - (1) When Partner Is a State Employee. It shall be a breach of ethical standards for a person who is a partner of an employee

knowingly to act as a principal or as an agent for anyone other than the state in connection with any:

- (A) Judicial or other proceeding, application, request for a ruling, or other determination;
- (B) Contract;
- (C) Claim; or
- (D) Charge or controversy in which the employee either participates personally and substantially through decision, approval, disapproval, recommendation, the rendering of advice, investigation, or otherwise, or which is the subject of the employee's official responsibility, where the state is a party or has a direct and substantial interest.
- (2) When a Partner Is a Former State Employee. It shall be a breach of ethical standards for a partner of a former employee knowingly to act as a principal or as an agent for anyone other than the state where such former employee is barred under subsection (b) of this section.
- (d) (1) Selling to State After Termination of Employment is Prohibited. It shall be a breach of ethical standards for any former employee, unless the former employee's last annual salary did not exceed ten thousand five hundred dollars (\$10,500), to engage in selling or attempting to sell commodities or services to the state for one (1) year following the date employment ceased.
  - (2) The term "sell", as used in this subsection, means signing a bid, proposal, or contract; negotiating a contract; contacting any employee for the purpose of obtaining, negotiating, or discussing changes in specifications, price, cost allowances, or other terms of a contract; settling disputes concerning performance of a contract; or any other liaison activity with a view toward the ultimate consummation of a sale although the actual contract therefore is subsequently negotiated by another person.
- (e) (1) This section is not intended to preclude a former employee from accepting employment with private industry solely because his employer is a contractor with this state.
  - (2) This section is not intended to preclude an employee, a former employee, or a partner of an employee or former employee from filing an action as a taxpayer for alleged violations of this subchapter.

## 19-11-712. Civil and administrative remedies against employees who breach ethical standards.

- (a) Existing Remedies Not Impaired. Civil and administrative remedies against employees which are in existence on July 1, 1979, shall not be impaired.
- (b) Supplemental Remedies. In addition to existing remedies for breach of the ethical standards of this subchapter, or regulations promulgated thereunder, the Director of the Department of Finance and Administration may impose any one (1) or more of the following:
  - (1) Oral or written warnings or reprimands;
  - (2) Forfeiture of pay without suspension:
  - (3) Suspension with or without pay for specified periods of time; &
  - (4) Termination of employment.
- (c) Right to Recover from Employee Value Received in Breach of Ethical Standards. The value of anything received by an employee in breach of the ethical standards of this subchapter, or regulations promulgated thereunder, shall be recoverable by the state as provided in § 19-11-714, which refers to recovery of value transferred or received in breach of ethical standards.
- (d) Due Process. Notice and an opportunity for a hearing shall be provided prior to imposition of any of the remedies set forth in subsection (b) of this section.

Department of Finance and Administration

#### **Employee Disclosure Requirements**

In Compliance with Governor's Executive Order <u>98-04</u>
Arkansas Code Annotated § 19-11-706

Pursuant to Arkansas Code Annotated § 19-11-706, employees are required to disclose any benefit received from any state contract. Specifically:

- (a) Any employee who has or obtains any benefit from any state contract with a business in which the employee has a financial interest shall report such benefit to the Director of the Department of Finance and Administration. However, this section shall not apply to a contract with a business where the employee's interest in the business has been placed in a disclosed blind trust.
- (b) Any employee who knows or should have known of such benefit and fails to report the benefit to the director is in breach of the ethical standards of this section.

This employee disclosure shall be made within 30 days after the employee has actual or constructive notice of a benefit received or to be received. Such disclosure shall be made by completing this **Employee Disclosure Requirements** form and forwarding this completed form to:

Director
Department of Finance and Administration
P. O. Box 3278
Little Rock, AR 72203-3278

Applicant/Employee Name:	
Agency Name/Division where employed:	
Name of Person/Business involved with State Contract:	
Name of Government Body with which the Business has a Contract:	
Dollar Amount and Nature of Contract:	
Nature and extent of the benefit received or to be received:	9
Applicant/Employee Signature	Date

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## THE FOLLOWING IS A PAGE FROM A SAMPLE EMPLOYMENT APPLICATION THAT CONTAINS THE CHECKLIST FOR EMPLOYEE DISCLOSURE.

#### **DISCLOSURE REQUIREMENTS**

Governor's Executive Order 98-04, Governor's Policy Directive #8, and ACA §21-8-304 require that the following information be disclosed to be considered for employment with the State of Arkansas.

to be co	nsidered for employment with the State of Arkansas.	
1.	Are you one of the following:  current member of the AR General Assembly?  current constitutional officer?  current state employee?	former member of the AR General Assembly? former constitutional officer? former state employee?
2.	Are any of your relatives one of the following: (Relative is defin mother-in-law, father-in-law, brother, sister, stepbrother, stepsidaughter, son, stepdaughter, stepson, daughter-in-law, son-incurrent member of the AR General Assembly?  — current constitutional officer?  — current state employee?	ster, half-brother, half-sister, brother-in-law, sister-in-law,
3.	☐ None of the above applies.	
4.	Certain family or business relationships may prohibit an agenc will be required to disclose additional information if you are sel would be prohibited or would require approval. I understand, s may be reprimanded or terminated for failing to disclose the re	should I become an employee of the State of Arkansas, that I
state co	stand that, should I become an employee of the State of Arkans: ntract by a business in which I have a financial interest, pursual dministrative remedies if I fail to report such benefits.	
from ce		nsas, I will be restricted both during and after state employment ate, pursuant to ACA §19-11-709, and will be subject to civilons.
am hire		restricted from supervising or being supervised by a relative. If gaining employment that I could be subject to criminal or civil
Applicar (Please	nt/Employee Name:	Date:
Applica	nt/Employee Signature	

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# RETURN TO ON-CAMPUS WORK GUIDELINES FOR PHASE I & II

## Checklist for all employees

#### Employees—please check each box to acknowledge each item below.

If applicable, pack all university equipment and supplies used to work remotely, and bring back to campus.

You cannot report to work if you exhibit any of the below symptoms for COVID-19. You will need to immediately report to your supervisor and Human Resources for additional guidance.

- Cough
- Shortness of breath or difficulty breathing
- Muscle pain
- Sore throat
- Diarrhea
- Known close contact with aperson who is lab confirmed to have COVID-19

- Chills
- Repeated shaking with chills
- Headache
- Loss of taste or smell
- Feeling feverish or measured temperature greater than or equal to 100.4 degrees Fahrenheit

I have read and reviewed the Return to Learn plan

Complete the Campus Self Check Health Assessment each day immediately upon reporting to your work station.

Rigorously practice hand hygiene, cough etiquette, cleanliness, and sanitation.

Maintain at least 6 feet separation from other individuals. If such distancing is not feasible, employees **MUST** wear a face covering (over the nose and mouth). A-State will provide a face covering to employees. Contact Environmental Health & Safety Office for distribution and guidance.

Continuously self-screen for the symptoms listed above. If you begin to show symptoms, notify your supervisor and Human Resources and leave work immediately. Limit contact with other individuals on campus when possible and contact your healthcare provider for further guidance. Please note that you may be required to provide a fitness to return to work letter before returning to campus.

If you are tested positive for COVID-19, or you are made aware by contact tracing that you have been in close contact with a positive case of COVID-19, you must notify Human Resources immediately.

Avoid in person meetings as much as possible. If necessary to meet in person, individuals must adhere to all social distancing rules including wearing face coverings when applicable. Recommendation is to utilize Cisco Webex or Zoom.

Wash and/or disinfect hands while at work and after any interaction with other employees, other constituents, or items in the workplace.

Maintain office cleanliness through removal of unnecessary personal items and debris to create clean counter spaces and support of cleaning efforts on common surfaces. If additional cleaning is needed, please contact Facilities Management.

Employee Name:	ID Number:
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